

Next Generation ACO Model



**Next Generation ACO Model
Application: 2017 Participant List**

April 12, 2016

Agenda

- Submission Process
- Crucial Concepts and Definitions
- Provider Identifiers
- The Provider List Submission Tool (PLST)
- Demonstration of the PLST
- Tips on Using the PLST
- Handling “Errors” Flagged by the PLST
- Q and A

Provider List Submission Process

Phase	Description
Phase 1	NGACO submits participating and preferred provider list data
Phase 2	PAC processes the data and returns a response file identifying the records that were “accepted”
Phase 3	NGACO reviews response file and submits corrected data
Phase 4	PAC processes the updated data and returns a response file identifying records that were “accepted”
Phase 5	NGACO reviews response file and submits data on benefit enhancements
Phase 6	PAC processes benefit enhancement data and returns a response file
Phase 7	NGACO reviews response file of the final participating and preferred provider list for the upcoming performance year

Key Definitions

Institutional Provider (Facility)

- Organizational entity with an agreement to participate in Medicare
- Examples:
 - Acute care hospital
 - FQHC, RHC, CAHs,
 - Hospice
 - Home Health Agency
 - SNF
- Does **not** include individual physicians or physician group practices

Professional (Practitioner)

- A physician or non-physician practitioner enrolled in Medicare.
- A professional may practice in the following settings:
 - Private Practice
 - Sole Proprietor
 - Group Practice
 - FQHC/RHC/Method II CAHs Provider

Use of Provider Identifiers

Provider Type	Taxpayer ID Number	Individual NPI	Organization NPI	CMS Certification Number
Practitioner at a Solo Practice	Required	Required	Optional	Not Applicable
Practitioner at a Group Practice	Required	Required	Optional	Not Applicable
Practitioner at an FQHC, RHC, or Method II CAHs	Required	Required	Required	Required
Facility or Institution	Required	Not Applicable	Required	Required

The Provider List Submission Tool

(Version 2.01.03)

- The PLST is a macro-enabled Excel™ workbook
- It performs basic validation checks to ensure
 - All required data elements are present
 - No prohibited data elements are present
 - All data conform to formatting requirements
 - No duplicate records are present
- It saves a “version-controlled” file for submission
- It MUST be used to submit data

The Provider List Submission Tool

(Version 2.01.03)

- CERTIFICATION worksheet
- LIST_STAGING worksheet
- ACO_PROVIDER_LIST_AUDIT worksheet
- ACO_PROVIDER_LIST worksheet
- ACO_SERVICE_AREA worksheet (not used)
- PRACTITIONER_LIST worksheet (informational)
- ORGANIZATION_LIST worksheet (informational)
- TIN_LIST worksheet (informational)

The Provider List Submission Tool

LIST_STAGING

- “Scratch pad” for ‘staging’ your data
- Can “unprotect” the worksheet if necessary
 - Delete and Insert rows
 - Cut and paste rows
 - Find and Replace operations

The Provider List Submission Tool

ACO_PROVIDER_LIST_AUDIT

- “Scratch pad” for ‘staging’ your data
- Can “unprotect” the worksheet if necessary
 - Delete and Insert rows
 - Cut and paste rows
 - Find and Replace operations

The Provider List Submission Tool

ACO_PROVIDER_LIST_AUDIT

NGACO Participating & Preferred Provider List Validation			
Import	Transfer	Run Validation	Export
0	Total provider records checked		
0	Pass format validation		
0	Fail format validation and will not be processed		
0	Duplicate records will not be processed		
0	Participating provider records checked		
0	Participating provider records pass format validation		
0	Preferred provider records checked		
0	Preferred provider records pass format validation		
0	PBP records		
0	... with errors		
0	All-inclusive PBP records		
0	... with errors		
0	SNF waiver records		
0	... of which have errors		
0	Telemedicine waiver records		
0	... of which have errors		
0	Post-acute home visit waiver records		
0	... of which have errors		
0	Unique Tax Identification Numbers		
0	Unique Organizational (Facility) NPIs		
0	Unique Individual (Professional/Practitioner) NPIs		
View List	DATA ARE READY TO SUBMIT FOR CMMI REVIEW		

The Provider List Submission Tool

ACO_PROVIDER_LIST_AUDIT

NGACO Participating & Preferred Provider List Validation			
Import	Transfer	Run Validation	Export
0	Total provider records checked		
0	Pass format validation		
0	Fail format validation and will not be processed		
0	Duplicate records will not be processed		

- The **TRANSFER** button will:
 - COPY the date on the LIST_STAGING worksheet to the ACO_PROVIDER_LIST worksheet
 - Clicking TRANSFER will completely REPLACE the data on the ACO_PROVIDER_LIST worksheet
- The **RUN VALIDATION** button runs the validation algorithm on the ACO_PROVIDER_LIST worksheet
- The **IMPORT** and **EXPORT** buttons are not enabled

The Provider List Submission Tool

ACO_PROVIDER_LIST_AUDIT

NGACO Participating & Preferred Provider List Validation			
Import	Transfer	Run Validation	Export
5	Total provider records checked		
0	Pass format validation		
5	Fail format validation and will not be processed		
0	Duplicate records will not be processed		
0		Participating provider records checked	
0		Participating provider records pass format validation	

0 Unique Individual (Professional/Practitioner) NPIs

View List DATA ARE READY TO SUBMIT FOR CMMI REVIEW

Good to Submit

0 Unique Individual (Professional/Practitioner) NPIs

View List DO NOT SUBMIT DATA UNTIL ALL ERRORS ARE CORRECTED!

Need to Correct Errors

The Provider List Submission Tool

ACO_PROVIDER_LIST

- Columns A through M display the basic provider data
 - Cells containing data with errors are highlighted
 - A brief **comment** describes the error(s)
- Columns N through R display benefit enhancements
- Columns T through Y display response codes
 - Generated by the validation algorithm
 - Identify records that pass the validation
 - Identify general reasons for records' failing validation
- Columns Z through AF are populated by the algorithm

“Errors” Flagged by the PLST

	A	B	C	D	E	F	G
		Provider	Legacy		Organization		Individual (Practitioner)
1	ACO ID	Class	Record	Billing TIN	NPI	CCN	NPI
2	V000	PART		012345678			1234567890
3	V000	PART		01234567	<div data-bbox="1388 621 1694 806">ORG_TIN must be 9 characters</div>		1234567891
4	V000	PART		012345678			1234567892
5	V000	PART		012345678			1234567893
6	V000	PART		012345678			1234567894

ASK BEFORE YOU SUBMIT DATA CONTAINING ERRORS

The Provider List Submission Tool

CERTIFICATION worksheet

Incomplete certification worksheet

NGACO Participating & Preferred Provider List Submission Certification

ACO Identifier:	
ACO Name:	
Validated on:	
Approved by:	
Date approved:	

0	Records will be reviewed by CMMI
0	... Participating provider records
0	... Preferred provider records

0	PBP records will be reviewed by CMMI
0	All-inclusive PBP records will be reviewed by CMMI
0	SNF waiver records will be reviewed by CMMI
0	Telemedicine waiver records will be reviewed by CMMI
0	Post-acute home visit waiver records will be reviewed by CMMI

Submit **DATA ARE READY TO SUBMIT FOR CMMI REVIEW**

Complete certification worksheet

NGACO Participating & Preferred Provider List Submission Certification

ACO Identifier:	V000
ACO Name:	NextGeneration ACO
Validated on:	03/01/2016
Approved by:	Benjamin Rush
Date approved:	03/01/2016 12:33:50 PM

86	Records will be reviewed by CMMI
0	... Participating provider records
0	... Preferred provider records

0	PBP records will be reviewed by CMMI
0	All-inclusive PBP records will be reviewed by CMMI
0	SNF waiver records will be reviewed by CMMI
0	Telemedicine waiver records will be reviewed by CMMI
0	Post-acute home visit waiver records will be reviewed by CMMI

Submit **DATA ARE READY TO SUBMIT FOR CMMI REVIEW**

The Provider List Submission Tool

Demonstration

Tips on Using the PLST

- ALL data should be treated as characters, NOT NUMBERS
- Therefore when cutting and pasting you should “cut and paste values”
 - Do **not** simply cut and paste
 - Excel will treat an identifier (TIN) as a number
- Do NOT include accented characters
 - Maria not María
 - Nunez not Nuñez
- Do NOT include carriage returns or tabs in any cells.
- The validation routine will replace “illegal” characters although it may flag the errors

Questions?

Future Open Door Forum Dates

Open Door Forum Topic	Date and Time
2017 Benefit Enhancements	April 19, 2016 4:00-5:00 PM ET
2017 Population Based Payments and All Inclusive Based Payments	April 26, 2016 4:00-5:00 PM ET

Next Generation ACO Model Webpage:

<http://innovation.cms.gov/initiatives/Next-Generation-ACO-Model/>

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